

# COE206 – Principles of Artificial Intelligence

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# L6: Constraint Satisfaction Problems (CSPs)<sup>1</sup>

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<sup>1</sup>[https://en.wikipedia.org/wiki/Constraint\\_satisfaction\\_problem](https://en.wikipedia.org/wiki/Constraint_satisfaction_problem)

# Outline

- ▶ Formal Definition
- ▶ Constraint Propagation
- ▶ Backtracking Search
- ▶ Local Search
- ▶ Problem Structure

# Outline

- ▶ **Formal Definition**
- ▶ Constraint Propagation
- ▶ Backtracking Search
- ▶ Local Search
- ▶ Problem Structure

# Constraint Satisfaction Problems (CSPs)

A constraint satisfaction problem consists of three components,  $X$ ,  $D$ , and  $C$ :

- ▶  $X$  is a set of **variables**,  $\{X_1, \dots, X_n\}$
- ▶  $D$  is a set of **domains**,  $\{D_1, \dots, D_n\}$ , one for each **variable**
- ▶  $C$  is a set of (**hard**) **constraints** that specify **allowable combinations of values**

Each **domain**  $D_i$  consists of a set of allowable **values**,  $v_1, \dots, v_k$  for **variable**  $X_i$ .

Each constraint  $C_i$  consists of a pair  $\langle \text{scope}, \text{rel} \rangle$ , where

- ▶ *scope* is a tuple of **variables** that participate in the **constraint** and
- ▶ *rel* is a **relation** that defines the **values** that those **variables** can take on.

## CSP – Variables / Domains<sup>3</sup>

A **discrete variable** is one whose **domain** is **finite** or **countably infinite**<sup>2</sup>.

A **binary variable** is a **discrete** variable **with two values** in its **domain**.

- ▶ One particular case of a binary variable is a **Boolean variable**, which is a variable with **domain**  $\{true, false\}$ .

A **variable** whose **domain** corresponds to the **real values** is a **continuous variable**.

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<sup>2</sup> <https://mathworld.wolfram.com/CountablyInfinite.html>

<sup>3</sup> <https://artint.info/2e/html/ArtInt2e.Ch4.S1.SS2.html>

## CSP – Constraints<sup>4</sup>

A **constraint** can be evaluated on any assignment that extends its **scope**.

Consider **constraint**  $c$  on  $S$ :

- ▶ **Assignment**  $A$  on  $S'$ , where  $S \subseteq S'$  **satisfies**  $c$  if  $A$ , restricted to  $S$ , is mapped to true by the **relation**.
- ▶ Otherwise, the **constraint** is **violated** by the **assignment**.

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<sup>4</sup> <https://artint.info/2e/html/ArtInt2e.Ch4.S1.SS2.html>

## CSP – Constraints<sup>5</sup>

A **unary constraint** is a **constraint** on a **single variable**

▶ e.g.,  $B \leq 3$

A **binary constraint** is a **constraint** over a **pair of variables**

▶ e.g.,  $A \leq B$

In general, a  **$k$ -ary constraint** has a **scope** of size  $k$

▶ e.g.  $A + B = C$  is a **3-ary (ternary) constraint**



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<sup>5</sup>

<https://artint.info/2e/html/ArtInt2e.Ch4.S1.SS2.html> – image source:  
<https://www.hackingwithswift.com/articles/74/understanding-protocol-associated-types-and-their-constraints>



# CSP – Constraints<sup>6</sup>

**Constraints** are defined either by

- ▶ their **intension**, in terms of **formulas**
- ▶ their **extension**, **listing all the assignments that are true**

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<sup>6</sup> <https://artint.info/2e/html/ArtInt2e.Ch4.S1.SS2.html>

## CSP – Constraints<sup>7</sup>

Consider a **constraint** on the possible dates for 3 activities.

- ▶ A, B, C are the **variables** that **represent the date of each activity**.
- ▶ The domain of each **variable** is  $\{1, 2, 3, 4\}$

A **constraint** with **scope**  $\{A, B, C\}$  can be described by its **intension**, using a **formula** of the legal assignments, e.g.

- ▶ This **formula** says that  $A$  is on the same date or before  $B$ , and  $B$  is before day 3,  $B$  is before  $C$ , and it cannot be that  $A$  and  $B$  are on the same date and  $C$  is on or before day 3.

$$(A \leq B) \wedge (B < 3) \wedge (B < C) \wedge \neg(A = B \wedge C \leq 3)$$

This **constraint** could instead have its relation defined its **extension**, as a table of the **legal assignments**:

A	B	C
2	2	4
1	1	4
1	2	3
1	2	4

<sup>7</sup> <https://artint.info/2e/html/ArtInt2e.Ch4.S1.SS2.html> -  $\wedge$  means and;  $\neg$  means not

### Example **constraints** and their **scopes**

- ▶  $V_2 \neq 2$  has **scope**  $\{V_2\}$
- ▶  $V_1 > V_2$  has **scope**  $\{V_1, V_2\}$
- ▶  $V_1 + V_2 + V_4 < 5$  has **scope**  $\{V_1, V_2, V_4\}$

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<sup>8</sup> <https://www.cs.ubc.ca/~mack/CS322/lectures/3-CSP2.pdf>

## CSP – Relations

A **relation** can be represented as an explicit list of all tuples of **values** that **satisfy the constraint**, or as an abstract relation that supports two operations:

- ▶ **testing** if a tuple is a member of the **relation**
- ▶ **enumerating** the members of the **relation**

e.g. if  $X_1$  and  $X_2$  both have the **domain**  $\{A, B\}$ , then the constraint saying the two **variables** must have **different values** can be written as

$\langle (X_1, X_2), [(A, B), (B, A)] \rangle$  or as  $\langle (X_1, X_2), X_1 \neq X_2 \rangle$

## CSP – Delivery Robot<sup>9</sup>, e.g.

A **delivery robot** must carry out a number of **delivery activities**,  $a$ ,  $b$ ,  $c$ ,  $d$ , and  $e$ .

- ▶ Each **activity** happens at any of times 1, 2, 3, 4
- ▶ Let  $A$  be the **variable** representing the **time** that **activity**  $a$  will **occur**, and similarly for the other activities.
- ▶ The **variable domains**, which represent **possible times for each of the deliveries**, are  $\{1, 2, 3, 4\}$

Suppose the following **constraints** must be **satisfied**:

$$\{(B \neq 3), (C \neq 2), (A \neq B), (B \neq C), (C < D), (A = D), (E < A), (E < B), (E < C), (E < D), (B \neq D)\}$$

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<sup>9</sup> <https://artint.info/2e/html/ArtInt2e.Ch4.S1.SS3.html>

## CSP – Crossword Puzzle<sup>10</sup>, e.g.

- ▶  $X$ , **variables** are words that have to be filled in
- ▶  $D$ , **domains** are English words of correct length
- ▶  $C$ , **constraints**: words have the same letters at cells where they intersect



<sup>10</sup>

<https://www.cs.ubc.ca/~mack/CS322/lectures/3-CSP2.pdf> – image source:  
<https://www.tnnews.com/articles/crossword-puzzle-solution-june-3-2019>

## CSP – Sudoku<sup>11</sup>, e.g.

- ▶  $X$ , **variables** are cells
- ▶  $D$ , **domain** of each **variable** is 1,2,3,4,5,6,7,8,9
- ▶  $C$ , **constraints**: rows, columns, boxes contain all different numbers

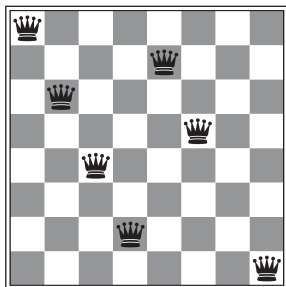
5	3			7				
6			1	9	5			
	9	8					6	
8				6				3
4			8		3			1
7				2				6
	6					2	8	
			4	1	9			5
				8			7	9

5	3	4	6	7	8	9	1	2
6	7	2	1	9	5	3	4	8
1	9	8	3	4	2	5	6	7
8	5	9	7	6	1	4	2	3
4	2	6	8	5	3	7	9	1
7	1	3	9	2	4	8	5	6
9	6	1	5	3	7	2	8	4
2	8	7	4	1	9	6	3	5
3	4	5	2	8	6	1	7	9

<sup>11</sup><https://www.cs.ubc.ca/~mack/CS322/lectures/3-CSP2.pdf>

## CSP – n-Queens<sup>12</sup>, e.g.

- ▶  $X$ , **variables** are the locations of queens on a chess board
- ▶  $D$ , **domains** are grid coordinates
- ▶  $C$ , **constraints**: no queen can attack another



<sup>12</sup><https://www.cs.ubc.ca/~mack/CS322/lectures/3-CSP2.pdf>



# CSP

To solve a CSP, we need to define a **state space** and the notion of a **solution**.

- ▶ Each state in a CSP is defined by an **assignment** of **values** to some or all of the **variables**,  $\{X_i = v_i, X_j = v_j, \dots\}$ .
- ▶ An **assignment** that does not violate any constraints is called a **consistent / legal assignment**.
- ▶ A **complete (total) assignment** is one in which every variable is assigned.
- ▶ A **solution** to a CSP is a **consistent, complete assignment**.
- ▶ A **partial assignment** is one that assigns values to only some of the **variables**.
- ▶ A **possible world** is defined to be a **total assignment**; it is a function from variables into values that assigns a value to every **variable**.
  - ▶ If world  $w$  is the **assignment**  $\{X_1 = v_1, X_2 = v_2, \dots, X_k = v_k\}$ , variable  $X_i$  has value  $v_i$  in world  $w$ .

## CSP – Possible Worlds<sup>13</sup>, e.g.

If there are  $n$  **variables**, each with **domain size**  $d$ , there are  $d^n$  **possible worlds**.

- ▶ e.g. for 2 **variables**,  $A$  with **domain**  $\{0, 1, 2\}$  and  $B$  with **domain**  $\{true, false\}$ , there are 6 **possible worlds**:

$$w_0 = \{A = 0, B = true\}$$

$$w_1 = \{A = 0, B = false\}$$

$$w_2 = \{A = 1, B = true\}$$

$$w_3 = \{A = 1, B = false\}$$

$$w_4 = \{A = 2, B = true\}$$

$$w_5 = \{A = 2, B = false\}$$

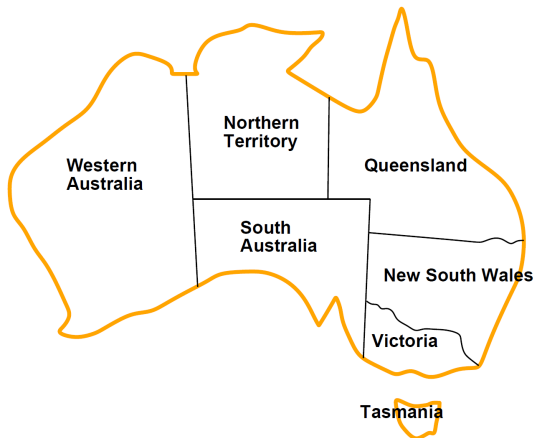
A **possible world** is a **model** of the **constraints** – a **model** is a **possible world** that **satisfies** all of the **constraints**

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<sup>13</sup> <https://artint.info/2e/html/ArtInt2e.Ch4.S1.SS1.html>

## CSP – Map Coloring, e.g.

Coloring each region either red, green, or blue in such a way that no neighboring regions have the same color.



## CSP – Map Coloring, e.g.

Variables representing the regions:

$$X = \{WA, NT, Q, NSW, V, SA, T\}$$

The domain of each variable is the set

$$D_i = \text{red, green, blue}$$

There are 9 constraints<sup>14</sup>

$$C = \{SA \neq WA, SA \neq NT, SA \neq Q, SA \neq NSW, SA \neq V, \\ WA \neq NT, NT \neq Q, Q \neq NSW, NSW \neq V\}$$

$SA \neq WA$  is a shortcut for  $\langle (SA, WA), SA \neq WA \rangle$ , where  $SA \neq WA$  can be fully enumerated as:

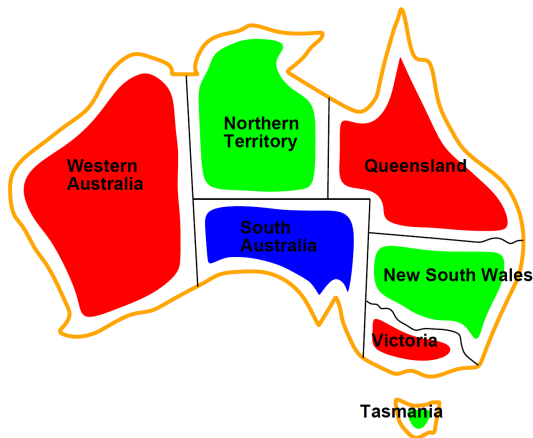
$$\{(red, green), (red, blue), (green, red), \\ (green, blue), (blue, red), (blue, green)\}$$

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<sup>14</sup> The constraints require neighboring regions to have distinct colors and there are nine places where regions border

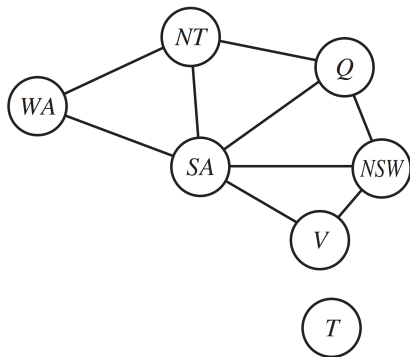
## CSP – Map Coloring, e.g. Sample Solution

$\{WA = red, NT = green, Q = red, NSW = green,$   
 $V = red, SA = blue, T = red\}$



## CSP – Map Coloring, e.g. Constraint Graph

Nodes are **variables** and links / arcs represent **constraints**<sup>15</sup>



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<sup>15</sup> binary CSP as each constraint relates at most two variables

## CSP – Job-Shop Scheduling, e.g. Car Assembly<sup>16</sup>

Problem can be defined as multiple tasks:

- ▶ Each task is a **variable**, where its value is the time that the task starts, expressed as an integer number of minutes
- ▶ **Constraints** can assert that one task must occur before another – e.g. a wheel must be installed before the wheel-cap
- ▶ **Constraints** can also specify that a task completion time



## CSP – Job-Shop Scheduling, e.g. Car Assembly

Consisting of **15 tasks** – each represented with a **variable**:

- ▶ install axles (front and back), affix all four wheels (right and left, front and back), tighten nuts for each wheel, affix hubcaps, and inspect the final assembly.

$$X = \{Axle_F, Axle_B, \\ Wheel_{RF}, Wheel_{LF}, Wheel_{RB}, Wheel_{LB}, \\ Nuts_{RF}, Nuts_{LF}, Nuts_{RB}, Nuts_{LB}, \\ Cap_{RF}, Cap_{LF}, Cap_{RB}, Cap_{LB}, \\ Inspected\}$$

The value of each **variable** is the start time.



## CSP – Job-Shop Scheduling, e.g. Car Assembly

**Precedence constraints** – task  $T_1$  must occur before task  $T_2$ , and task  $T_1$  takes duration  $d_1$  to complete:

$$T_1 + d_1 \leq T_2$$

The axles have to be in place before the wheels are put on, and it takes 10 minutes to install an axle:

$$\begin{aligned} Axle_F + 10 &\leq Wheel_{RF} ; Axle_F + 10 \leq Wheel_{LF} \\ Axle_B + 10 &\leq Wheel_{RB} ; Axle_B + 10 \leq Wheel_{LB} \end{aligned}$$

## CSP – Job-Shop Scheduling, e.g. Car Assembly

For each wheel, we must affix the wheel (which takes 1 minute), then tighten the nuts (2 minutes), and finally attach the hubcap (1 minute, but not represented yet):

$$Wheel_{RF} + 1 \leq Nuts_{RF} ; Nuts_{RF} + 2 \leq Cap_{RF}$$

$$Wheel_{LF} + 1 \leq Nuts_{LF} ; Nuts_{LF} + 2 \leq Cap_{LF}$$

$$Wheel_{RB} + 1 \leq Nuts_{RB} ; Nuts_{RB} + 2 \leq Cap_{RB}$$

$$Wheel_{LB} + 1 \leq Nuts_{LB} ; Nuts_{LB} + 2 \leq Cap_{LB}$$

With 4 workers to install wheels, but they have to **share one tool** that helps put the axle in place.

- ▶ **disjunctive constraint** to say that  $Axle_F$  and  $Axle_B$  must not overlap in time; either one comes first or the other does:

$$(Axle_F + 10 \leq Axle_B) \text{ or } (Axle_B + 10 \leq Axle_F)$$

## CSP – Job-Shop Scheduling, e.g. Car Assembly

The inspection comes last and takes 3 minutes.

- ▶ For every variable except *Inspect* we add a constraint of the form  $X + d_X \leq \textit{Inspect}$ .

Whole assembly should be done in 30 minutes.

- ▶ achieve that by limiting the domain of all variables:

$$D_i = \{1, 2, 3, \dots, 27\}$$

# Outline

- ▶ Formal Definition
- ▶ **Constraint Propagation**
- ▶ Backtracking Search
- ▶ Local Search
- ▶ Problem Structure

# Constraint Propagation

An algorithm can search (**choose a new variable assignment from several possibilities**) or do a specific type of **inference** called **constraint propagation**:

- ▶ using the constraints to **reduce the number of legal values** for a **variable**, which in turn can **reduce the legal values** for **another variable**, and so on.

**Constraint propagation** may be interconnected with **search**, or it may be done as a **preprocessing step**, before search starts.

- ▶ Sometimes **this preprocessing can solve the whole problem**, so no search is required at all.

## Constraint Propagation – Node Consistency

A **single variable** (corresponding to a node in the CSP network) is **node-consistent** if all the values in the variable's domain **satisfy** the **variable's unary constraints**.

- ▶ e.g. in the variant of the **Australia map-coloring problem** where **South Australians dislike green**, the variable  $SA$  starts with domain  $\{red, green, blue\}$ ,
- ▶ can make it **node consistent** by **eliminating green**, leaving  $SA$  with the **reduced domain**  $\{red, blue\}$

A network is **node-consistent** if every variable in the network is **node-consistent**.

## Constraint Propagation – Arc Consistency

Simplest form of **propagation** makes each **arc consistent**.

A **variable** in a CSP is **arc-consistent** if every value in its **domain** **satisfies** the **variable's binary constraints**.

- ▶  $X_i$  is **arc-consistent** with respect to another **variable**  $X_j$  if for every value in the current **domain**  $D_i$  there is some value in the **domain**  $D_j$  that **satisfies** the **binary constraint** on the **arc**  $(X_i, X_j)$
- ▶ A network is **arc-consistent** if every **variable** is arc **consistent** with every other **variable**

Pruning out possible values for the **variables** in a CSP which **cannot possibly be part of a consistent solution**

## Constraint Propagation – Arc Consistency

e.g. consider the **constraint**  $Y = X^2$  where the **domain** of both  $X$  and  $Y$  is the set of digits:

$$\langle (X, Y), (0, 0), (1, 1), (2, 4), (3, 9) \rangle$$

To make  $X$  **arc-consistent** with respect to  $Y$ , we reduce  $X$ 's **domain** to  $\{0, 1, 2, 3\}$ .

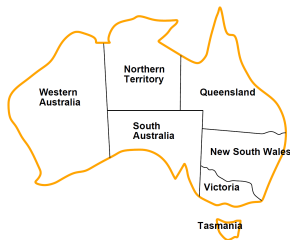
- ▶ If we also make  $Y$  **arc-consistent** with respect to  $X$ , then  $Y$ 's **domain** becomes  $\{0, 1, 4, 9\}$  and the whole CSP is **arc-consistent**.

All the **variables** which **cannot possibly be part of a consistent solution** are removed!



## Constraint Propagation – Arc Consistency

On the other hand, **arc consistency** can **do nothing** for the **Australia map-coloring problem**. Consider the following **inequality constraint** on  $(SA, WA)$ :



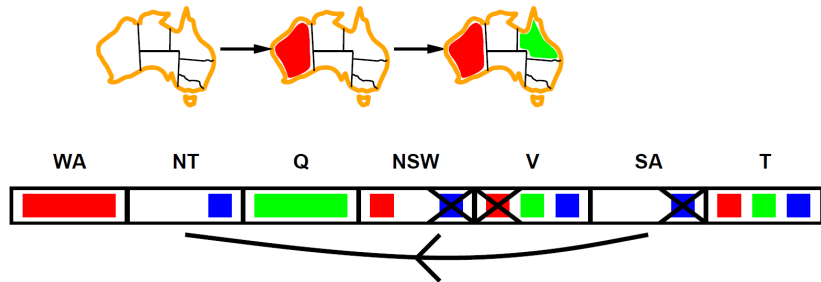
$$\{(red, green), (red, blue), (green, red), (green, blue), (blue, red), (blue, green)\}$$

No matter what value you choose for  $SA$  (or for  $WA$ ), there is a valid value for the other **variable**.

- ▶ Applying **arc consistency** has **no effect** on the **domains** of either **variable**.

## Constraint Propagation – Arc Consistency

$X \rightarrow Y$  is consistent iff for every value  $x$  of  $X$  there is some allowed  $y$



If  $X$  loses a value, neighbors of  $X$  need to be rechecked **arc consistency** which **detects failure earlier** than **forward checking**

- ▶ can be run as a **preprocessor** or after each assignment

# Constraint Propagation – Arc Consistency, AC-3<sup>17</sup>

**function** AC-3(*csp*) **returns** false if an inconsistency is found and true otherwise

**inputs:** *csp*, a binary CSP with components ( $X$ ,  $D$ ,  $C$ )

**local variables:** *queue*, a queue of arcs, initially all the arcs in *csp*

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$

**if** REVISE(*csp*,  $X_i$ ,  $X_j$ ) **then**

**if** size of  $D_i = 0$  **then return** *false*

**for each**  $X_k$  **in**  $X_i.\text{NEIGHBORS} - \{X_j\}$  **do**

            add  $(X_k, X_i)$  to *queue*

**return** *true*

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**function** REVISE(*csp*,  $X_i$ ,  $X_j$ ) **returns** true iff we revise the domain of  $X_i$

*revised*  $\leftarrow$  *false*

**for each**  $x$  **in**  $D_i$  **do**

**if** no value  $y$  in  $D_j$  allows  $(x, y)$  to satisfy the constraint between  $X_i$  and  $X_j$  **then**

            delete  $x$  from  $D_i$

*revised*  $\leftarrow$  *true*

**return** *revised*

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<sup>17</sup> [https://en.wikipedia.org/wiki/AC-3\\_algorithm](https://en.wikipedia.org/wiki/AC-3_algorithm)

# Constraint Propagation – Path Consistency

**Arc consistency** tightens down the **domains (unary constraints)** using the **arcs (binary constraints)**.

- ▶ To make progress on problems like map coloring, we need a stronger notion of **consistency**.

**Path consistency** tightens the **binary constraints** by using implicit **constraints** that are **inferred** by looking at triples of **variables**.

## Constraint Propagation – $K$ -Consistency

**Stronger** forms of **propagation** can be defined with the notion of  **$k$ -consistency**.

- ▶ A CSP is  $k$ -consistent if, for any set of  $k - 1$  variables and for any **consistent assignment** to those **variables**, a **consistent value** can always be assigned to any  $k$ th **variable**.

1  $\rightsquigarrow$  3 **consistency**:

- ▶ **1-consistency** says that, given the empty set, we can make any set of one variable consistent: this is what we called **node consistency**.
- ▶ **2-consistency** is the same as **arc consistency**.
- ▶ For **binary constraint networks**, **3-consistency** is the same as **path consistency**.

# Constraint Propagation – Global Constraints

A **global constraint** is one involving an arbitrary number of **variables** (but not necessarily all variables).

- ▶ e.g. *Alldiff*: all of the variables involved in the constraint must have different values

**Global constraints** occur frequently in real problems and can be handled by special-purpose algorithms that are more efficient than the general-purpose methods described so far.

## Constraint Propagation – Global Constraints

**resource (atmost) constraint** in a scheduling problem,  
 $P_1, \dots, P_4$  denote the numbers of personnel assigned to each task

- ▶ The **constraint** that no more than 10 personnel are assigned in total is written as  $Atmost(10, P_1, P_2, P_3, P_4)$ .

**Domains** are represented by **upper / lower bounds** and are managed by **bounds propagation**

- ▶ **e.g.** in an airline-scheduling problem, let's suppose there are two flights,  $F_1$  and  $F_2$ , for which the planes have capacities 165 and 385, respectively.
- ▶ The initial **domains** for the numbers of passengers on each flight are then

$$D_1 = [0, 165] \text{ and } D_2 = [0, 385]$$

## Constraint Propagation – Global Constraints

Now suppose we have the **additional constraint** that the two flights together must carry 420 people:  $F_1 + F_2 = 420$ .

- ▶ **Propagating bounds constraints**, we reduce the domains to

$$D_1 = [35, 165] \text{ and } D_2 = [255, 385]$$

A CSP is **bounds consistent** if for every variable  $X$ , and for both the **lower / upper-bound values** of  $X$ , there exists some value of  $Y$  that satisfies the **constraint** between  $X$  and  $Y$  for every **variable**  $Y$ .



## Constraint Propagation, e.g. Sudoku

A Sudoku board consists of 81 squares, some of which are initially filled with digits from 1 to 9.

- ▶ The puzzle is to fill in all the remaining squares such that no digit appears twice in any row, column, or  $3 \times 3$  box.

	1	2	3	4	5	6	7	8	9
A			3		2		6		
B	9			3		5			1
C			1	8		6	4		
D			8	1		2	9		
E	7								8
F			6	7		8	2		
G			2	6		9	5		
H	8			2		3			9
I			5		1		3		

	1	2	3	4	5	6	7	8	9
A	4	8	3	9	2	1	6	5	7
B	9	6	7	3	4	5	8	2	1
C	2	5	1	8	7	6	4	9	3
D	5	4	8	1	3	2	9	7	6
E	7	2	9	5	6	4	1	3	8
F	1	3	6	7	9	8	2	4	5
G	3	7	2	6	8	9	5	1	4
H	8	1	4	2	5	3	7	6	9
I	6	9	5	4	1	7	3	8	2

## Constraint Propagation, e.g. Sudoku

A Sudoku puzzle can be considered a CSP with 81 **variables**, one for each square.

- ▶ The **variables** are  $A1$  through  $A9$  for the top row (left to right), down to  $I1$  through  $I9$  for the bottom row.
- ▶ The empty squares have the **domain**  $D = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$  and the prefilled squares have a domain consisting of a single value.
- ▶ There are 27 different **Alldiff constraints**: one for each row, column, and box of 9 squares.

$Alldiff(A1, A2, A3, A4, A5, A6, A7, A8, A9)$

$Alldiff(B1, B2, B3, B4, B5, B6, B7, B8, B9)$

...

$Alldiff(A1, B1, C1, D1, E1, F1, G1, H1, I1)$

$Alldiff(A2, B2, C2, D2, E2, F2, G2, H2, I2)$

...

$Alldiff(A1, A2, A3, B1, B2, B3, C1, C2, C3)$

$Alldiff(A4, A5, A6, B4, B5, B6, C4, C5, C6)$

...

# Outline

- ▶ Formal Definition
- ▶ Constraint Propagation
- ▶ **Backtracking Search**
- ▶ Local Search
- ▶ Problem Structure

# Backtracking Search

The algorithm is modeled on the **recursive depth-first search** – two critical elements: **variable** and **value ordering**

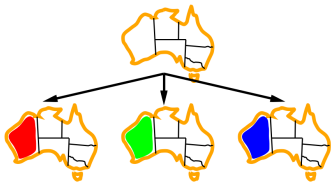
**function** BACKTRACKING-SEARCH(*csp*) **returns** a solution, or failure  
**return** BACKTRACK( $\{ \}$ , *csp*)

**function** BACKTRACK(*assignment*, *csp*) **returns** a solution, or failure  
**if** *assignment* is complete **then return** *assignment*  
*var*  $\leftarrow$  SELECT-UNASSIGNED-VARIABLE(*csp*)  
**for each** *value* **in** ORDER-DOMAIN-VALUES(*var*, *assignment*, *csp*) **do**  
    **if** *value* is consistent with *assignment* **then**  
        add  $\{var = value\}$  to *assignment*  
        *inferences*  $\leftarrow$  INFERENCE(*csp*, *var*, *value*)  
        **if** *inferences*  $\neq$  failure **then**  
            add *inferences* to *assignment*  
            *result*  $\leftarrow$  BACKTRACK(*assignment*, *csp*)  
            **if** *result*  $\neq$  failure **then**  
                **return** *result*  
        remove  $\{var = value\}$  and *inferences* from *assignment*  
**return** failure

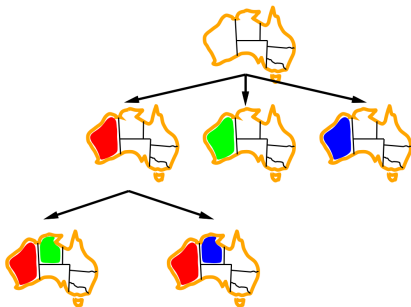
## Backtracking Search – Map Coloring, e.g.



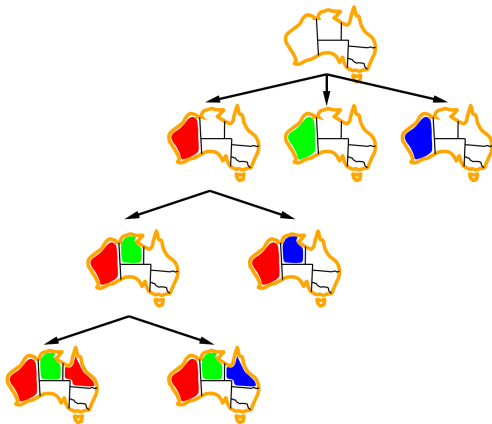
## Backtracking Search – Map Coloring, e.g.



## Backtracking Search – Map Coloring, e.g.



## Backtracking Search – Map Coloring, e.g.





# Improving Backtracking Search

General-purpose methods can give huge gains in speed:

1. Which variable should be assigned next?
2. In what order should its values be tried?
3. Can we detect inevitable failure early?
4. Can we take advantage of problem structure?

# Backtracking Search – Minimum Remaining Values (MRV)

Choose the **variable** with the **fewest legal values** (most constrained variable) – a.k.a. **fail first** heuristic

- ▶ Such a **variable** is most likely to **cause a failure soon**
- ▶ If a variable  $X$  has no legal values left, the MRV heuristic will select  $X$  and failure will be detected immediately – **avoiding pointless searches** through other variables.

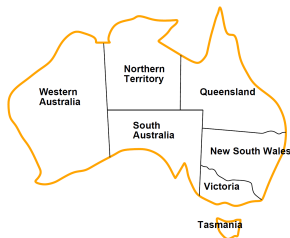
## Backtracking Search – Minimum Remaining Values

Suppose we already made the assignments of **red** to *WA* and **green** to *NT*.

- ▶ There is only **one possible value left** for *SA*.



It makes sense to assign *SA*, rather than the one for *Q* (which has two possible values left)



# Backtracking Search – Degree Heuristic

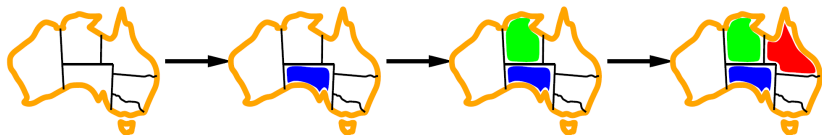
**Tie-breaker** among **MRV variables**

- ▶ choose the **variable** with the most **constraints** on **remaining variables**

The **degree heuristic** attempts to **reduce the branching factor on future choices** by selecting the **variable** that is involved in the **largest number of constraints** on other **unassigned variables**.

## Backtracking Search – Degree Heuristic

The MRV heuristic doesn't help at all in choosing the first region to color in Australia, because **initially every region has three legal colors**.



$SA$  is the variable with **highest degree 5** (**number of neighboring cities**); the other variables have **degree 2 or 3**, except for  $T$ , which has **degree 0**.

- ▶ Once  $SA$  is chosen, applying the **degree heuristic** solves the problem without any false steps—you can choose any **consistent** color at each choice point and still arrive at a solution with **no backtracking**.

## Backtracking Search – Least Constraining Value

Once a **variable** has been selected, the algorithm must decide on the **order** in which to **examine** its **values**

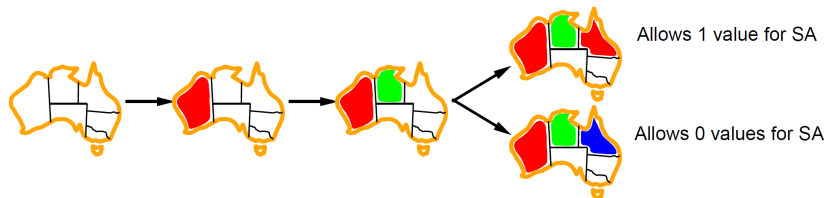
Given a **variable**, choose the **least constraining value**:

- ▶ the one that **rules out the fewest values** in the **remaining variables**

## Backtracking Search – Least Constraining Value

Suppose that we have generated the **partial assignment** with  $WA = \textit{red}$  and  $NT = \textit{green}$  and that our next choice is for  $Q$ .

- ▶  $\textit{blue}$  would be a **bad choice** because it **eliminates the last legal value** left for  $Q$ 's neighbor,  $SA$ .
- ▶ The **least constraining value** heuristic prefers  $\textit{red}$  to  $\textit{blue}$ .



In general, the heuristic is trying to leave the **maximum flexibility** for subsequent **variable assignments**.

## Backtracking Search – Forward Checking

**Inference** can be powerful in the course of a search:

- ▶ every time we make a choice of a value for a **variable**, we have a brand-new opportunity to **infer new domain reductions on the neighboring variables**.

**forward checking** offers **inference**:

- ▶ Whenever a **variable**  $X$  is assigned, the **forward-checking** process establishes **arc consistency** for it: for each unassigned **variable**  $Y$  that is connected to  $X$  by a **constraint**, delete from  $Y$ 's **domain** any value that is **inconsistent** with the value chosen for  $X$ .

As **forward checking only** does **arc consistency inferences**, **no reason to do forward checking** if we have already done **arc consistency** as a preprocessing step.



## Backtracking Search – Forward Checking, e.g.

Keep track of remaining legal values for unassigned variables

- ▶ **Terminate search** when any variable has no legal values



## Backtracking Search – Forward Checking, e.g.



Assign  $\{WA = \text{red}\} \rightsquigarrow$  effects on other **variables**

- ▶ *NT* can no longer be *red*
- ▶ *SA* can no longer be *red*

## Backtracking Search – Forward Checking, e.g.



Assign  $\{Q = \textit{green}\} \rightsquigarrow$  effects on other **variables**

- ▶ *NT* can no longer be *green*
- ▶ *NSW* can no longer be *green*
- ▶ *SA* can no longer be *green*

## Backtracking Search – Forward Checking, e.g.



WA	NT	Q	NSW	V	SA	T

If  $V$  is assigned *blue*  $\rightsquigarrow$  effects on other **variables**

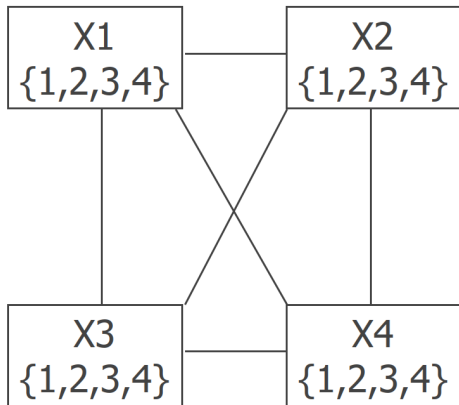
- ▶  $SA$  is empty
- ▶  $NSW$  can no longer be *blue*

Detected that **partial assignment** is **inconsistent** with the **constraints** and **backtracking** can occur.

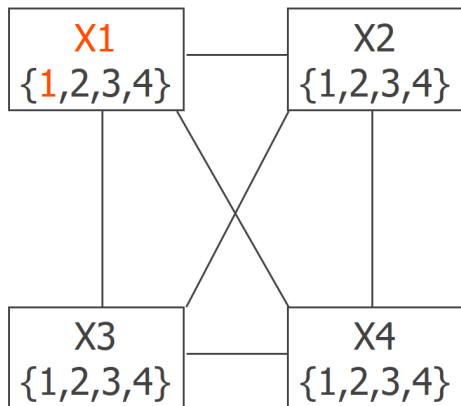
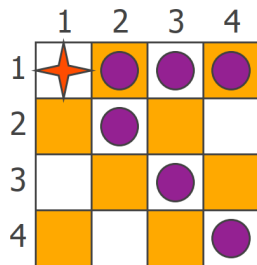
# Backtracking Search – Forward Checking, e.g. 4-Queens

4 queens,  $\{X_1, X_2, X_3, X_4\}$ , each with the domain  $\{1, 2, 3, 4\}$  referring to the **column indices**

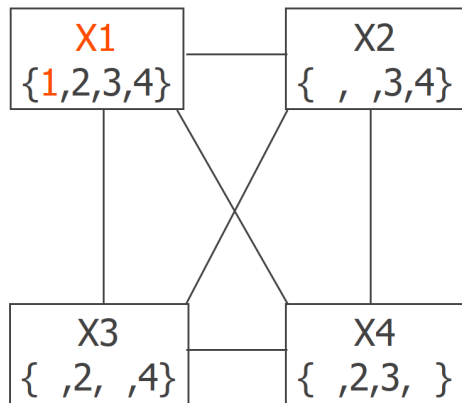
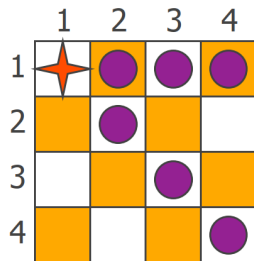
	1	2	3	4
1				
2				
3				
4				



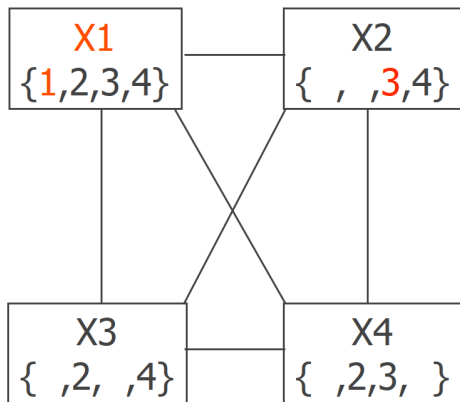
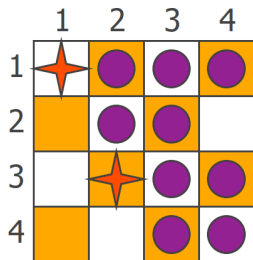
# Backtracking Search – Forward Checking, e.g. 4-Queens



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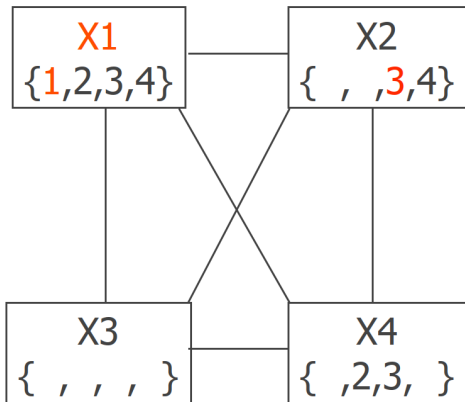
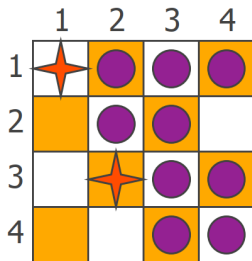


# Backtracking Search – Forward Checking, e.g. 4-Queens

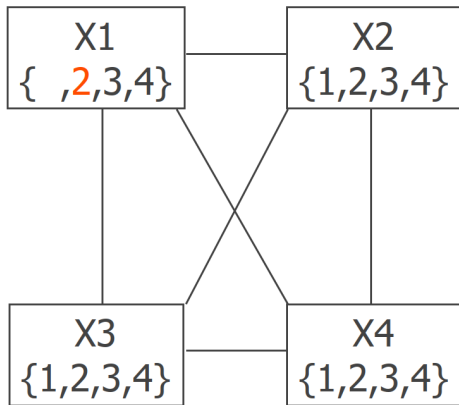
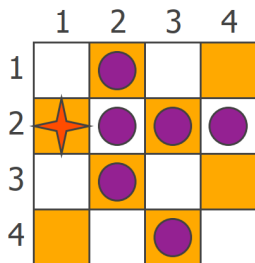




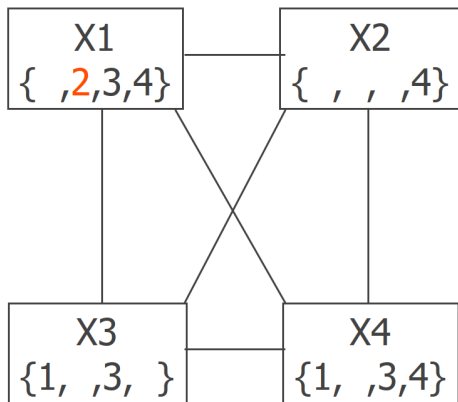
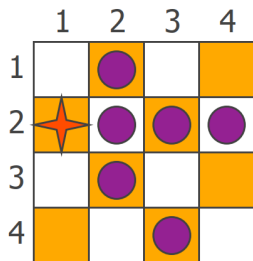
# Backtracking Search – Forward Checking, e.g. 4-Queens



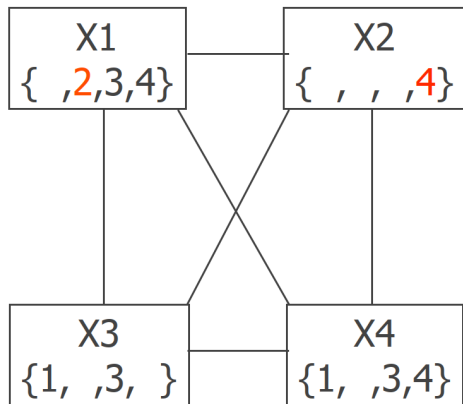
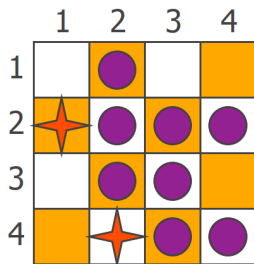
# Backtracking Search – Forward Checking, e.g. 4-Queens



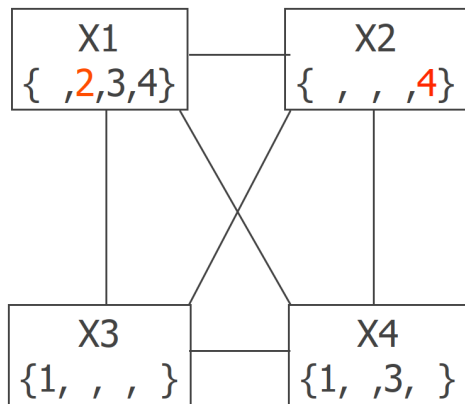
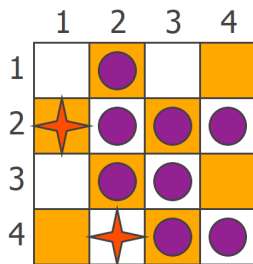
# Backtracking Search – Forward Checking, e.g. 4-Queens



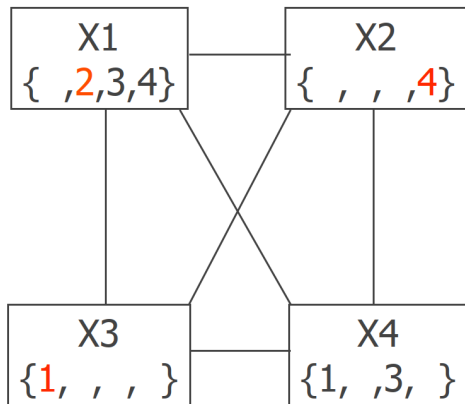
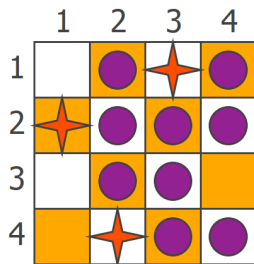
# Backtracking Search – Forward Checking, e.g. 4-Queens



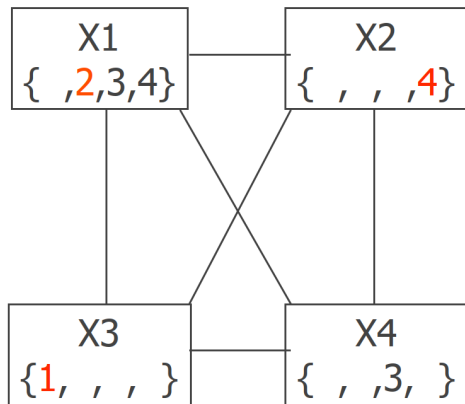
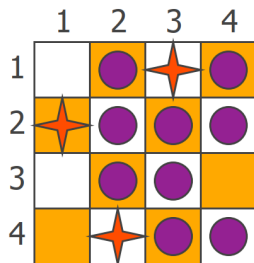
# Backtracking Search – Forward Checking, e.g. 4-Queens



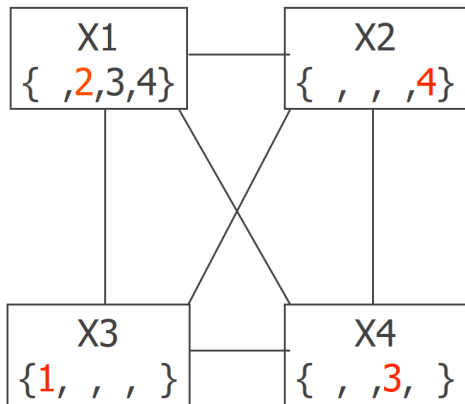
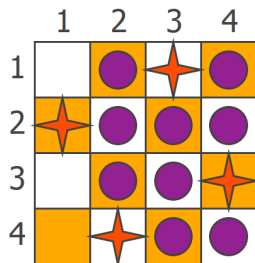
# Backtracking Search – Forward Checking, e.g. 4-Queens



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# Backtracking Search – Forward Checking, e.g. 4-Queens





# Outline

- ▶ Formal Definition
- ▶ Constraint Propagation
- ▶ Backtracking Search
- ▶ **Local Search**
- ▶ Problem Structure

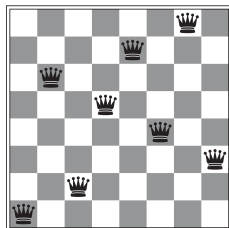
# Local Search

Use a **complete-state** formulation:

- ▶ the initial state assigns a value to every variable, and the search changes the value of one variable at a time

e.g. in 8-queens, the initial state is a **random configuration** of 8 queens in 8 columns, and each step **moves** a single queen to a new position in its **column**

- ▶ Typically, the initial guess **violates** several **constraints**.



## Local Search – Min-Conflicts<sup>18</sup>, e.g. 8-Queens

In choosing a **new value for a variable**, the most obvious heuristic is to select the value that results in the **minimum number of conflicts** with other variable – **the min-conflicts** heuristic

The function **counts the number of constraints violated** by a particular value, given the rest of the current assignment.

**function** MIN-CONFLICTS(*csp*, *max\_steps*) **returns** a solution or failure

**inputs:** *csp*, a constraint satisfaction problem

*max\_steps*, the number of steps allowed before giving up

*current*  $\leftarrow$  an initial complete assignment for *csp*

**for** *i* = 1 to *max\_steps* **do**

**if** *current* is a solution for *csp* **then return** *current*

*var*  $\leftarrow$  a randomly chosen conflicted variable from *csp*.VARIABLES

*value*  $\leftarrow$  the value *v* for *var* that minimizes CONFLICTS(*var*, *v*, *current*, *csp*)

    set *var* = *value* in *current*

**return** *failure*

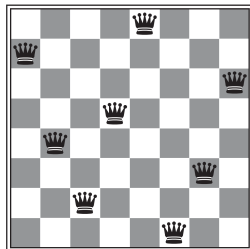
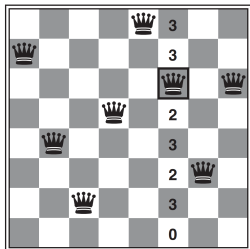
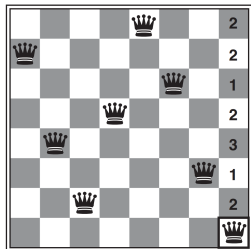
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<sup>18</sup> the **initial state** may be chosen randomly or by a greedy assignment process that chooses a minimal-conflict value for each variable in turn.

# Local Search – Min-Conflicts, e.g. 8-Queens

A **two-step solution** using **min-conflicts**:

- ▶ At each stage, a queen is chosen for **reassignment** in its **column**.
- ▶ The number of **conflicts** (in this case, **the number of attacking queens**) is shown in each square
- ▶ The algorithm moves the queen to the **min-conflicts** square, **breaking ties randomly**



# Outline

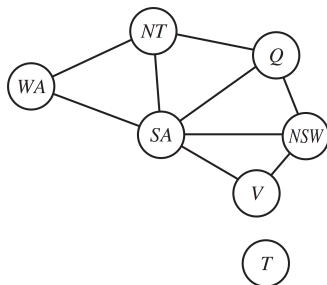
- ▶ Formal Definition
- ▶ Constraint Propagation
- ▶ Backtracking Search
- ▶ Local Search
- ▶ **Problem Structure**

## Problem Structure, e.g.

The **constraint graph** for Australia indicates that *Tasmania is not connected to the mainland*.

Coloring Tasmania and the mainland are **independent subproblems**

- ▶ any **solution** for the mainland combined with any **solution** for Tasmania yields a **solution** for the **whole map**



## Problem Structure<sup>19</sup>

**Independence** can be ascertained simply by finding **connected components** of the **constraint graph**.

- ▶ Each component corresponds to a **subproblem**  $CSP_i$
- ▶ If assignment  $S_i$  is a solution of  $CSP_i$ ,  $\bigcup_i S_i$  is a solution of  $\bigcup_i CSP_i$

Consider the following:

- ▶ suppose each  $CSP_i$  has  $c$  **variables** from the total of  $n$  **variables**, where  $c$  is a **constant**
- ▶ there are  $n/c$  **subproblems**, each of which takes at most  $d^c$  work to solve, where  $d$  is the size of the **domain**
- ▶ the total work is  $O(d^c n/c)$ , which is **linear** in  $n$ ; without the decomposition, the total work is  $O(d^n)$  – **exponential** in  $n$

---

<sup>19</sup>

dividing a Boolean CSP with 80 variables into 4 subproblems reduces the **worst-case solution time** from the lifetime of the universe down to less than a second.

# Problem Structure

**Completely independent subproblems** are practical, but **rare**.  
Fortunately, some other graph structures are also easy to solve.

- ▶ e.g. a **constraint graph** is a **tree** when any two variables are connected by only **one path**

The key is a new notion of **consistency**, called **directed arc consistency (DAC)**.

- ▶ A CSP is defined to be **directed arc-consistent** under an **ordering** of **variables**  $X_1, X_2, \dots, X_n$  if and only if every  $X_i$  is **arc-consistent** with each  $X_j$  for  $j > i$



## Problem Structure — DAC

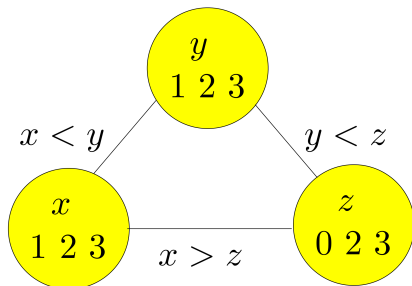
```
procedure DAC( $X, D, C$ )  
  for each  $i := n - 1$  downto 1 do  
    for each  $c_{ij}$  s.t.  $x_i \prec x_j$  do Revise( $i, j$ )  
endprocedure
```

- ▶ Only one pass is required
- ▶ Once  $x_i$  is made **arc-consistent** with respect to  $x_i \prec x_j$ , removing values from  $x_i$  such that the **arc-consistency** of  $x_i$  wrt.  $x_j$  is **not destroyed**

## Problem Structure — DAC, e.g.<sup>20</sup>

Consider a CSP with 3 **variables** in this **order**:  $x \prec y \prec z$

- ▶ **domains**  $D_x = D_y = \{1, 2, 3\}$  and  $D_z = \{0, 2, 3\}$
- ▶ **constraints**  $C$ :  $x < y$ ,  $y < z$ ,  $x > z$

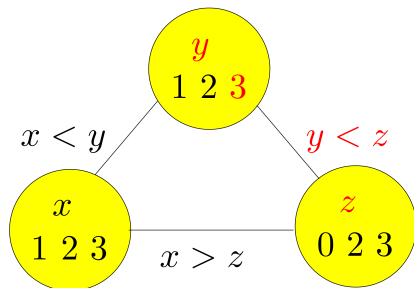


<sup>20</sup> <https://www.cs.upc.edu/~erodri/webpage/cps/theory/cp/local-consistency/slides.pdf>

## Problem Structure — DAC, e.g.

Consider a CSP with 3 **variables** in this **order**:  $x \prec y \prec z$

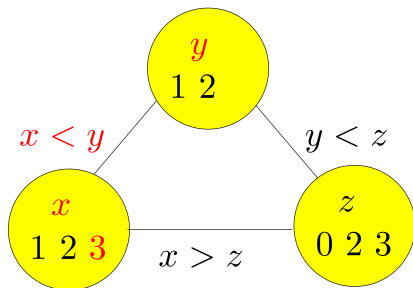
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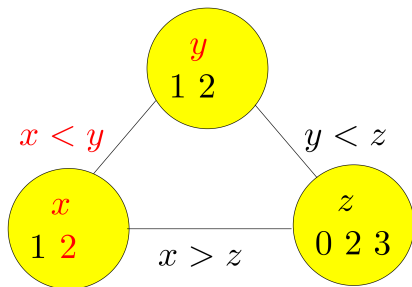


## Problem Structure — DAC, e.g.

Consider a CSP with 3 **variables** in this **order**:  $x \prec y \prec z$

▶ **domains**  $D_x = D_y = \{1, 2, 3\}$  and  $D_z = \{0, 2, 3\}$

▶ **constraints**  $C$ :  $x < y$ ,  $y < z$ ,  $x > z$

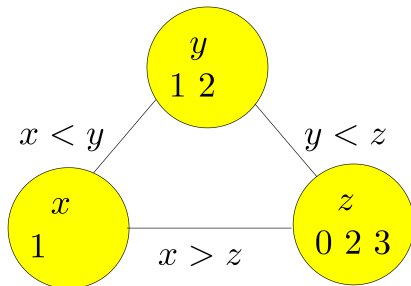


## Problem Structure — DAC, e.g.

Consider a CSP with 3 **variables** in this **order**:  $x \prec y \prec z$

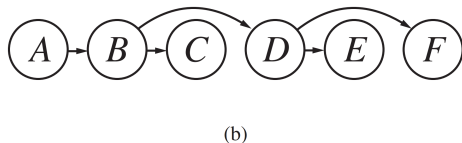
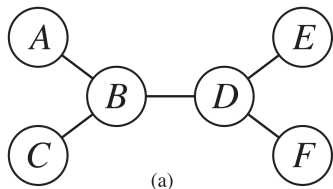
▶ **domains**  $D_x = D_y = \{1, 2, 3\}$  and  $D_z = \{0, 2, 3\}$

▶ **constraints**  $C$ :  $x < y$ ,  $y < z$ ,  $x > z$



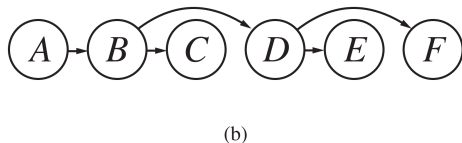
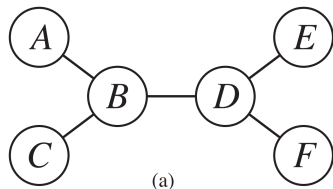
## Problem Structure

To solve a **tree-structured CSP**, first pick any **variable** to be the **root of the tree**, and choose an ordering of the **variables** such that each **variable** appears after its **parent** in the **tree** – called a **topological sort** of the variables.



- (a) The **constraint graph** of a **tree-structured CSP**
- (b) A **linear ordering** of the **variables consistent** with the **tree** with  $A$  as the **root** – a **topological sort**

## Problem Structure



Any tree with  $n$  nodes has  $n - 1$  arcs, so make this **graph directed arc-consistent** in  $O(n)$  steps, each of which must compare up to  $d$  possible **domain values** for two **variables**, for a total time of  $O(nd^2)$ .

- ▶ Once we have a **directed arc-consistent graph**, just down the list of **variables** and choose any remaining value.
- ▶ Since each link from a **parent** to its **child** is **arc consistent**, for any value we choose for the parent, there will be a valid value left to choose for the child - **no backtracking**; move linearly through the **variables** – the **Tree CSP Solver**



## Problem Structure

**function** TREE-CSP-SOLVER(*csp*) **returns** a solution, or failure

**inputs:** *csp*, a CSP with components  $X$ ,  $D$ ,  $C$

$n \leftarrow$  number of variables in  $X$

*assignment*  $\leftarrow$  an empty assignment

*root*  $\leftarrow$  any variable in  $X$

$X \leftarrow$  TOPOLOGICALSORT( $X$ , *root*)

**for**  $j = n$  **down to** 2 **do**

    MAKE-ARC-CONSISTENT(PARENT( $X_j$ ),  $X_j$ )

**if** it cannot be made consistent **then return** *failure*

**for**  $i = 1$  **to**  $n$  **do**

*assignment*[ $X_i$ ]  $\leftarrow$  any consistent value from  $D_i$

**if** there is no consistent value **then return** *failure*

**return** *assignment*

